



102703

15866 U.S. PTO

**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

Atty. Docket No.:

96098CIPDIV

Express Mail Label No.:

EV067922341US

17548 U.S. PTO  
10/694408

102703

Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Arlington, VA, 22313-1450

Transmitted herewith for filing is the utility patent application of inventor(s) **Gautam S. GROVER, Brian L. MUELLER, and Shumin WANG**, entitled: **COMPOSITION FOR OXIDE CMP**

**Type Of Application**

This application is:

- ☐ an original (non-provisional) application.  
☒ a division of prior application Serial No. 08/994,894 filed on 19-Dec-97.

- ☒ This application claims priority to U.S. Serial No. 08/994,894, filed on 19-Dec-97  
and U.S. Serial No. 08/7744,488, filed on 30-Dec-96.

- ☒ The entire disclosure of the prior application(s) is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference.

- ☒ The prior application(s) is/are assigned of record to: Cabot Microelectronics Corporation.

**Application Elements (enclosed, if applicable):**

1. ☒ A duplicate copy of this transmittal letter
2. ☒ Specification (including claims and abstract) containing pages 1- 19
3. ☒ Drawings: ☒ 1 copy of 1 sheet(s) of formal drawings, OR  
☐ 1 copy of \_\_\_\_\_ sheet(s) of informal drawings
4. ☐ Statement deleting inventor(s) named in the priority application
5. ☒ Combined Declaration and Power of Attorney form  
☐ newly executed (original or copy) ☒ copy from a prior application  
☒ Revocation of Prior Powers of Attorney and New Power of Attorney by Assignee and copies of 3 Notices of Recordation and Assignment from parent application.
6. ☐ CD-ROM or CD-R in duplicate, large table or computer program (*Appendix*)
7. ☐ Nucleotide and/or amino acid sequence submission  
☐ computer readable form (CRF) ☐ paper copy ☐ statement verifying identity of above copies

**Accompanying Application Parts (enclosed):**

9. ☐ Amendments  
☐ Amendments are indicated in enclosed Preliminary Amendment  
☐ Amend this application to cancel original claims \_\_\_\_\_ of the application before calculating the filing fee (MPEP 607). (*At least one original independent claim is retained.*)  
☐ Amend the specification by inserting before the first line the sentence:  
This is a ☐ continuation ☐ divisional ☐ continuation-in-part  
of Application No. \_\_\_\_\_, filed on \_\_\_\_\_
10. ☒ Itemized, stamped, and self-addressed Return Receipt Postcard (MPEP 503)
11. ☐ English translation document
12. ☐ Certified Copy of Priority Document (*if foreign priority is claimed*)
13. ☐ Non-publication request (*form PTO/SB/35*)
14. ☒ Information Disclosure Statement and enclosures described therein, including PTO forms 1449 and 892.
15. ☐ Other (*describe*):

# UTILITY PATENT APPLICATION TRANSMITTAL

Atty. Docket No.:

96098CIPDIV

First Named Inventor:

Gautam S. GROVER

**Filing Fees (as calculated below)**

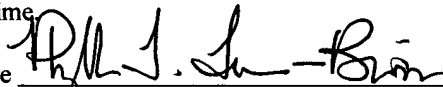
- ☐ Applicant claims small entity status. See 37 CFR 1.27.

For:	Number Filed	Number Extra	Rate	Fee
Basic Fee				\$ 770.00
Total Claims	8 - 20	0	\$ 18.00	\$ 0.00
Independent Claims	2 - 3	0	\$ 86.00	\$ 0.00
Multiple Dependent Claim Presented (if applicable)			\$290.00	\$ 0.00
Subtotal				\$770.00
Reduction by 50% for filing by small entity				\$0.00
TOTAL				\$ 770.00

- ☒ Please charge my Deposit Account No. **501599** in the amount of \$ 770.00.
- ☐ A check in the amount of \$ \_\_\_\_\_ is enclosed.
- ☒ The Commissioner is authorized to credit or charge Deposit Account No. **501599** for any excess/shortage in filing (37 CFR 1.16) and/or processing (37 CFR 1.17) fees associated with this communication.
- ☒ **ONLY if applicant has partially paid** the patent issue fee under 37 C.F.R. §1.18, then any **deficiency** shall be charged to Deposit Account No. **501599**.
- ☒ The Commissioner is hereby generally authorized under 37 CFR 1.136(a)(3) to treat any future reply in this or any related application filed pursuant to 37 CFR 1.53 requiring an extension of time as incorporating a request therefor, and the Commissioner is hereby specifically authorized to charge Deposit Account No. **501599** for any fee that may be due in connection with such a request for an extension of time.

Date: October 27, 2003

Attorney's Signature

Name and Registration No. Phyllis T. Turner-Brim (Reg. No. 39,864)**Correspondence Address:**

**Customer Number**  
**29050**

**Certification under 37 CFR 1.10 (if applicable)**EV067922341US

"Express Mail" mailing label number

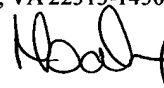
27 - OCT - 2003

Date of Deposit

I hereby certify that this Transmittal letter, enclosed application, and any other documents referred to as enclosed herein, are being deposited in an envelope with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and addressed to Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Mariejose Monsalve

(Typed or printed name of person mailing application)



(Signature of person mailing application)